

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/963,871
Filing Date	September 25, 2001
First Named Inventor	Lee et al.
Group Art Unit	2152
Examiner Name	
Attorney Docket No.	1617.EMCO.NP

Total Number of Pages in This Submission 19

### ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Appeal Communication:<br><input type="checkbox"/> Appeal Notice<br><input type="checkbox"/> Appeal Brief<br><input type="checkbox"/> Reply Brief<br><input checked="" type="checkbox"/> Assignment with Cover Sheet<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Credit card authorization in the amount of \$607.00**<br><input checked="" type="checkbox"/> Declaration & Power of Attorney<br><input checked="" type="checkbox"/> Drawings 6 sheets<br><input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Extension of Time Request _____ month<br><input type="checkbox"/> Fee Calculation Table<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> § 1.97 (1)<br><input type="checkbox"/> § 1.97 (2)<br><input type="checkbox"/> § 1.97 (3)<br><input type="checkbox"/> Form 1449<br><input type="checkbox"/> Copies of IDS References<br><input type="checkbox"/> Issue Fee Transmittal & Advance Order | <input type="checkbox"/> Maintenance Fee Transmittal _____ year<br><input checked="" type="checkbox"/> Missing Parts Response<br><input type="checkbox"/> Notification of Change of Attorney Address & Docket Number<br><input checked="" type="checkbox"/> Return Postcard<br><input type="checkbox"/> Revocation & Power of Attorney<br><input type="checkbox"/> Status Inquiry<br><input type="checkbox"/> Other: |
|---|---|--|

### Remarks

**\*\*The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment in connection with this communication to Deposit Account No. 50-0881.**

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Attorney for Applicant

David W. O'Bryant, Registration No. 39,793  
 Morriss, Bateman, O'Bryant & Compagni, P.C.  
 5882 South 900 East, Suite 300  
 Salt Lake City, Utah 84121  
 (801) 685-2302 telephone; (801) 685-2303 facsimile

Signature

*David W. O'Bryant*

Date

12/24/01

### CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addresses to Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name

David W. O'Bryant

Signature

*David W. O'Bryant*

Date

12/24/01

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JAN 24 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,871	09/25/2001	Lee	1671.EMCO.NP

CONFIRMATION NO. 9181

## FORMALITIES LETTER



\*OC00000006964980\*

26986

MORRIS, BATEMAN, O'BRYANT & COMPAGNI, P.C.  
5882 SOUTH 900 EAST, SUITE 300  
SALT LAKE CITY, UT 84121

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$130.
  - \$90 for 10 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 550.

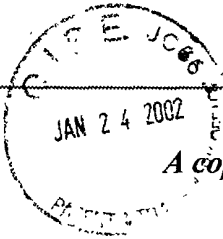
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/29/2002 NAMED1 00000015 09963871

11 FC:202	42.00 OP
12 FC:201	370.00 OP
13 FC:203	90.00 OP
14 FC:205	65.00 OP



*A copy of this notice **MUST** be returned with the reply.*

*Gelila Gebreab*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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